

Section 8

PTO/SB/21(08/00)

Approved for use through 19/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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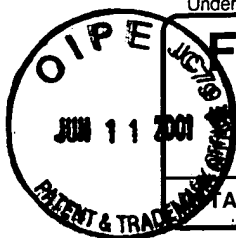
| | | | |
|---|----|------------------------|------------------|
| TRANSMITTAL FORM To be used for all correspondence after initial filing | | Application Number | 09/767,317 |
| | | Filing Date | January 22, 2001 |
| | | First Named Inventor | Alan Finkelstein |
| | | Group Art Unit | not assigned |
| | | Examiner Name | not assigned |
| Total Number of Pages in This Submission | 10 | Attorney Docket Number | 3431P009X |

| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"> return postcard Declaration & Power - 3pp </div> |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | George W Hoover, Reg. No. 32,992 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN |
| Signature | |
| Date | June 6, 2001 |

| CERTIFICATE OF MAILING | | | |
|---|----------------|------|----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 6, 2001 | | | |
| Typed or printed name | D.L. Mendrygal | | |
| Signature | | Date | 06/06/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 170.00

Complete if Known

| | |
|------------------------|--------------------------|
| Application Number | 09/767,317 |
| Filing Date | 01/22/01 |
| First Named Inventor | Alan Finkelstein, et al. |
| Examiner Name | not assigned |
| Group Art Unit | not assigned |
| Attorney Docket Number | 3431P009X |

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 101 710 201 355 | | Utility filing fee | |
| 106 320 206 160 | | Design filing fee | |
| 107 490 207 245 | | Plant filing fee | |
| 108 710 208 355 | | Reissue filing fee | |
| 114 150 214 75 | | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. CLAIMS

| Total Claims | Extra | Fee from below | Fee Paid |
|-----------------------------|-------|----------------|----------|
| Independent Claims 3 | = | X | = |
| Multiple Dependent Claims 1 | = | X | = |

Multiple Dependent Claims

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|---|----------|
| 103 18 203 9 | | Claims in excess of 20 | |
| 102 80 202 40 | | Independent claims in excess of 3 | |
| 104 270 204 135 | | Multiple Dependent claim | |
| 109 80 209 40 | | Reissue independent claims over original patent | |
| 110 18 210 9 | | Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105 130 205 65 | | Surcharge - late filing fee or oath | 130 |
| 127 50 227 25 | | Surcharge - late provisional filing fee or cover sheet. | |
| 139 130 139 130 | | Non-English specification | |
| 147 2,520 147 2,520 | | For filing a request for <i>ex parte</i> reexamination | |
| 112 920 112 920 | | Requesting publication of SIR prior to Examiner action | |
| 113 1,840 113 1,840 | | Requesting publication of SIR after Examiner action | |
| 115 110 215 55 | | Extension for response within first month | |
| 116 390 216 195 | | Extension for response within second month | |
| 117 890 217 445 | | Extension for response within third month | |
| 118 1,390 218 695 | | Extension for response within fourth month | |
| 128 1,890 228 945 | | Extension for response within fifth month | |
| 119 310 219 155 | | Notice of Appeal | |
| 120 310 220 155 | | Filing a brief in support of an appeal | |
| 121 270 221 135 | | Request for oral hearing | |
| 138 1,510 138 1,510 | | Petition to institute a public use proceeding | |
| 140 110 240 55 | | Petition to revive - unavoidably | |
| 141 1,240 241 620 | | Petition to revive - unintentionally | |
| 142 1,240 242 620 | | Utility issue fee (or reissue) | |
| 143 440 243 220 | | Design issue fee | |
| 144 600 244 300 | | Plant issue fee | |
| 122 130 122 130 | | Petitions to the Commissioner | |
| 123 50 123 50 | | Petitions related to provisional applications | |
| 126 180 126 180 | | Submission of Information Disclosure Stmt | |
| 581 40 581 40 | | Recording each patent assignment per property (times number of properties) | 40 |
| 146 710 246 355 | | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 710 249 355 | | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 710 279 355 | | Request for Continued Examination (RCE) | |
| 169 900 169 900 | | Request for expedited examination of a design application | |

Other fee (specify)

SUBTOTAL (3) (\$) 170.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name

George W Hoover, Reg. No. 32,992

Signature

George W Hoover

Date

6/6/2001

Complete (if applicable)

Reg. Number

Deposit Account User ID

02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

#3

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/767,317 | 01/22/2001 | Alan Finkelstein | 03431P009X |

CONFIRMATION NO. 3512

08791
BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025

FORMALITIES LETTER



OC000000006127840

Date Mailed: 05/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/13/2001 AZERGAW1 00000023 09767317

01 FC:105

130.00 0P



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

ALAN FINKELSTEIN

Serial No.: 09/767,317

Filed: 01/22/2001

For: A WALLET CARD WITH A
MAGNIFYING LENS AND LIGHT

Art Unit: not assigned

Examiner: not assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Attn: Box Missing Parts
Honorable Commissioner
for Patents
Washington, D. C. 20231

In response to the communication from the Patent Office mailed August 10, 1999 in connection with the above-referenced application, enclosed herewith are the following:

1. a return copy of the Formalities Letter;
2. a Declaration and Power of Attorney executed by the inventor;
3. an Assignment to LensCard U.S., LLC and Recordation Form Cover Sheet;
4. a check in the amount of \$130.00, which includes the large entity surcharge for filing the Declaration on a date later than the filing date of the application and the basic filing fee; and
5. a check in the amount of \$40.00 for recordation of the Assignment.

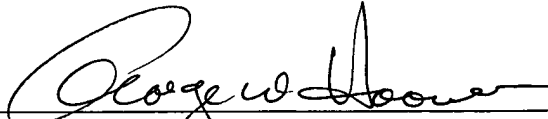
Please charge any additional fees or credit any overpayments to our deposit account no.
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: June 6, 2001

By: _____


George W Hoover
Reg. No. 32,992

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(310) 207-3800

CERTIFICATE OF MAILING:

I hereby certify that this correspondence is being deposited with the United States Postal Service as postage prepaid first class mail in an envelope addressed to: Attn: Box Missing Parts - Fee, Commissioner for Patents, Washington, D.C. 20231, on June 6, 2001.

 June 6, 2001
Name D.L. Mendryga Date